

**Report Number: QIP-ASR155440****Audit Date :** 23 Jul., 2015

This report is issued by Focus Technology Co., Ltd. (Made-in-China.com) and the supervising inspectorate (SGS-CSTC Standards Technical Services Co., Ltd.) to confirm that:

**Company Name :** Shandong China Coal Industrial & Mining Supplies Group Co., Ltd.  
山东中煤工矿物资集团有限公司

**Showroom :** <http://chinacoalintl.en.made-in-china.com/>

**Address :** No. 11, North of Kaiyuan Road, High-Tech Zone, Jining, Shandong, China.

**Product :** Shotcrete Machine, Drilling Equipment, Hoisting Equipment, Mine Car, Rock Drill, Packaging Machine, Steel Rail, Ventilation Equipment, Gas Detector, Railway Maintenance Tools

has been on site audited for the Following Scope of Activity

1. General Information
2. Foreign Trade Capacity
3. Product Research & Development Capacity
4. Management System and Product Certification
5. Production Capacity & Quality Control
6. Working Environment
7. Photos

**General Comments:**

Shandong China Coal Industrial & Mining Supplies Group Co., Ltd. is a group company with 405 employees; it was established in 2001, located in No. 11, North of Kaiyuan Road, High-Tech Zone, Jining, Shandong, China. The workshops occupy an area of about 7,470 square meters. It has passed ISO9001:2008 certification and obtained 6 CE certificates. Also it has owned 6 utility model patent certificates. Shandong China Coal Industrial & Mining Supplies Group Co., Ltd. has successful foreign trading experience in Europe, South America, Southeast Asia, etc.

Remark: The content of this report was just involves Shandong China Coal Industrial & Mining Suppliers Group Co., Ltd.

A handwritten signature in blue ink, appearing to read 'Carmel'.

Sign for and on behalf of  
SGS-CSTC Standards Technical Services Co., Ltd.



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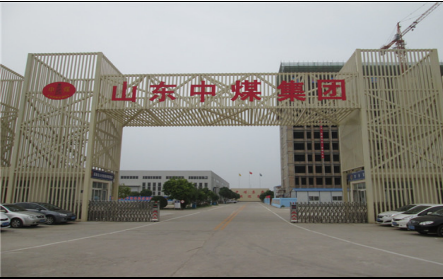
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Consumer Testing Services

5/F, B-4 Building, Zijin (Baixia) Technology Incubation Special Park, No.1 Guanghua Road, Nanjing, China 210014 t (86-25) 87128385 f (86-25) 83407773 www.ssgsgroup.com.cn  
中国·南京·秦淮区光华路1号紫金白下创业特别社区4号楼B幢5楼 邮编: 210014 t (86-25) 87128385 f (86-25) 83407773 e as.cn@sgs.com

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# SUPPLIER ASSESSMENT REPORT

Audited Company	Shandong China Coal Industrial & Mining Supplies Group Co., Ltd.
Audited Site:	No. 11, North of Kaiyuan Road, High-Tech Zone, Jinan, Shandong, China

Consigner of Assessment	Made-in-China.com		
Audit Type	<input type="checkbox"/> Initial Audit <input checked="" type="checkbox"/> Re-audit		
Audit Date	23 Jul., 2015	Verify Report	<a href="http://www.sgs.com">www.sgs.com</a>
Auditor	Spark Chu	Reviewed by	Simon Wang

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## Part A: General Information

### Section 1: Company Overview

1.1 Legal Validity			
Does the company have a valid business license?	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No <input type="checkbox"/> Others	Registration Number	370800228007246
Year of established	23 Apr., 2001	Valid Date	22 Apr., 2031
Registered address	No. 11, North of Kaiyuan Road, High-Tech Zone, Jining, Shandong, China		
Actual address	No. 11, North of Kaiyuan Road, High-Tech Zone, Jining, Shandong, China		
Does the company in abnormal operation status list of industrial and commercial bureau?	No		
Registered capital	RMB 100,000,000		
Name of legal representative	Mr. Qing Qu		
Business scope	Internet information service (excluding news, publish, education, medical, drug, medical equipment and BBS, the expiration date is subject to the certificates); cargo and technology import & export (except the prohibited and restricted items by the state); wholesale and retail of mechanical equipment, mechanical & electrical appliance parts, electronic, chemical products, high-low voltage electric appliance, apparatus & instrument, communication automatic equipment, hardware tool, labour protection goods, building materials, steel, agricultural & by-products; R&D new energy; installation of mining machinery; R&D software and hardware; computer system integration, technical service, consultation and transfer; (below items was only for subsidiary) production and processing of mechanical equipment, mechanical & electrical appliance parts; transportation, distribution, storage, loading and unload; logistics information service. (items need the permitted license before operating if required by the law)		
1.2 Basic Information			
Contact person	Mr. Mike Jiang		
Phone number	0086-537-2350961	Fax number	0086-537-2486099
URL/Web address	<a href="http://www.chinacoalintl.com">http://www.chinacoalintl.com</a>		
Company type	<input type="checkbox"/> Manufacturer <input type="checkbox"/> Trading Company <input type="checkbox"/> Combined <input checked="" type="checkbox"/> Group Corporation		
Type of ownership	<input checked="" type="checkbox"/> Limited Company <input type="checkbox"/> Public Company <input type="checkbox"/> Foreign joint venture <input type="checkbox"/> State-Owned <input type="checkbox"/> Private Owner <input type="checkbox"/> Wholly foreign-owned enterprises		
Subsidiary company	<input checked="" type="checkbox"/> Company name: <b>Shandong China Transportation Logistics Equipment Co., Ltd.</b> <input checked="" type="checkbox"/> The relationship of two companies: <input checked="" type="checkbox"/> The two companies have the same legal representative <input type="checkbox"/> One company has more than <b>20%</b> of the shares of another company		
Products manufactured / sold scope	Shotcrete Machine, Drilling Equipment, Hoisting Equipment, Mine Car, Rock Drill, Packaging Machine, Steel Rail, Ventilation Equipment, Gas Detector, Railway Maintenance Tools		

### 1.3 Company Building Information

According to:

- ☒ Land certificate      ☐ Real estate certificate  
☐ Lease agreement      ☒ Observation Estimated on site

The company area about 13,470 square meters

The land occupies 39,217 square meters.

The offices occupy about 6,000 square meters.

The workshops occupy about 7,470 square meters.



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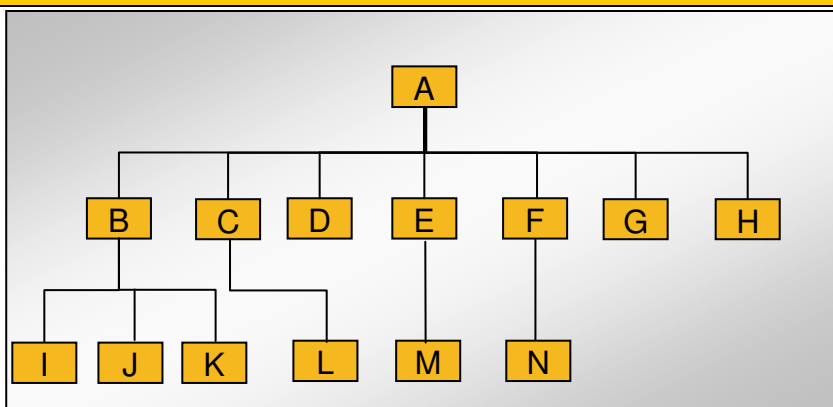
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## Section 2: Human Resources

### 2.1 Company Chart



### 2.2 Explanation of Code and Employee Details

Code	Department	Number of employees
A	General Manager	Confidential
B	Vice General Manager	Confidential
C	Vice General Manager	Confidential
D	Vice General Manager	Confidential
E	Vice General Manager	Confidential
F	Vice General Manager	Confidential
G	Finance Dept.	Confidential
H	Office	Confidential
I	Sales Dept.	Confidential
J	Logistics Dept.	Confidential
K	Transportation Dept.	Confidential
L	Machinery Factory	Confidential
M	Client Service Dept.	Confidential
N	Technology & Information Dept.	Confidential
Number in total:		405

### 2.3 Key Staff

Title	Full name	Education	Working experience for this trade / total experience
Vice general manager	Mr. Zhenbo Li	University	7 / 11
Vice general manager	Mr. Zhenying Feng	University	18 / 29

Remark: Above information of key staff was based on interview with company representative.

## Part B: Foreign Trade Capacity

### Section 1: Export Overall Situation

1.1 Export Overall Situation	
Does the company have a valid Import and Export license?	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No
The import and export enterprise code.	3700165948182
The number of foreign trading staff with relevant trading experience.	<input type="checkbox"/> within 1 year staff <input checked="" type="checkbox"/> 1-5 years 23 staffs <input checked="" type="checkbox"/> 6-10 years 20 staffs <input checked="" type="checkbox"/> over 10 years 5 staffs Total 48 staffs
The language freely used by foreign trade staff	<input checked="" type="checkbox"/> English <input checked="" type="checkbox"/> others: Japanese, Russian, France, Korean, etc.
Annual revenue of previous year	Confidential
Annual export revenue of previous year	Confidential
Estimated export revenue for this year.	Confidential
Overseas agent / branch	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No
Nearest port	Qingdao port
Acceptable quotation terms	<input checked="" type="checkbox"/> FOB <input checked="" type="checkbox"/> CIF <input checked="" type="checkbox"/> CFR
Acceptable payment terms	<input checked="" type="checkbox"/> LC <input checked="" type="checkbox"/> T/T <input type="checkbox"/> D/P <input checked="" type="checkbox"/> PayPal <input checked="" type="checkbox"/> Western Union <input type="checkbox"/> Small-amount payment
Average lead time (Peak Season)	<input checked="" type="checkbox"/> within 15 workday <input type="checkbox"/> one month <input type="checkbox"/> 2-3 months <input type="checkbox"/> 4-6 months <input type="checkbox"/> 6-12 months <input type="checkbox"/> more time
Average lead time (Off Season)	<input checked="" type="checkbox"/> within 15 workday <input type="checkbox"/> one month <input type="checkbox"/> 2-3 months <input type="checkbox"/> 4-6 months <input type="checkbox"/> 6-12 months <input type="checkbox"/> more time

### Section 2: Export Business Capacity

2.1 Market Distribution (please list top three areas)		
Market	Main Product	Main client
<input type="checkbox"/> North America		
<input checked="" type="checkbox"/> South America	Shotcrete Machine, Drilling Equipment, Hoisting Equipment, Mine Car, Rock Drill, Packaging Machine, Railway Steel Rail, U Steel Arch, etc.	Confidential
<input checked="" type="checkbox"/> Europe	Shotcrete Machine, Drilling Equipment, Hoisting Equipment, Mine Car, Packaging Machine, etc.	Confidential
<input checked="" type="checkbox"/> Southeast Asia/ Mideast	Shotcrete Machine, Drilling Equipment, Hoisting Equipment, Mine Car, Packaging Machine, etc.	Confidential
<input type="checkbox"/> Africa		
<input type="checkbox"/> East Asia (Japan/ South Korea)		
<input type="checkbox"/> Australia		
<input type="checkbox"/> Domestic		
<input type="checkbox"/> Others		



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## Section 3: Supplier Management

3.1 Supplier Management		
Item	Content	Observations /Comments
1	Does the company establish and implement an effective suppliers' assessment procedure?	<input checked="" type="checkbox"/> Have the written procedures and followed records <input type="checkbox"/> Have the written procedures but no records <input type="checkbox"/> Have relevant records without written procedure <input type="checkbox"/> No written procedures or followed records <input type="checkbox"/> Other
2	Does the company have an updated list of approved suppliers?	<input type="checkbox"/> The approved suppliers list was updated in _____ <input checked="" type="checkbox"/> Have the written suppliers without approved signature or date. <input type="checkbox"/> Provided some suppliers names <input type="checkbox"/> No approved suppliers list <input type="checkbox"/> Other

## Section 4: After-sales Service Capacity

4.1 After-sales Service Capacity		
Item	Content	Observations /Comments
1	Is there a procedure to conduct random product inspection after final packaging in place?	<input type="checkbox"/> Have clear standards and written inspection records <input type="checkbox"/> No written standards but had inspection reports <input type="checkbox"/> Have the procedures but no inspection records <input checked="" type="checkbox"/> It's not necessary to carry out the inspection <input type="checkbox"/> Other
2	Is there a clear procedure for handling customer complaints?	<input checked="" type="checkbox"/> Has the clear procedure and followed records <input type="checkbox"/> Has the procedure but no written records. <input type="checkbox"/> No written procedures or records. <input type="checkbox"/> Other
3	Can the finished/packaged product be traced by lot identification to the appropriate raw materials test reports?	<input type="checkbox"/> Have the procedures to trace the raw materials. <input checked="" type="checkbox"/> Can trace main materials <input checked="" type="checkbox"/> Can trace production date. <input type="checkbox"/> Can't trace products <input type="checkbox"/> Other
4	Are corrective & preventive actions mechanism established and implemented effectively (including the suppliers/ sub-contractors control, incoming inspection, process control, final inspection and customer complaint)?	<input checked="" type="checkbox"/> Has the clear procedure and followed records <input type="checkbox"/> Has the procedure but no written records. <input type="checkbox"/> No written procedures or records. <input type="checkbox"/> Other

## Part C: Product Research & Development Capacity

1.1 Product Research & Development Capacity	
The amount of R&D and relevant working experience.	<input type="checkbox"/> within 1 year staff <input checked="" type="checkbox"/> 1-5 years 2 staffs <input checked="" type="checkbox"/> 6-10 years 2 staffs <input checked="" type="checkbox"/> over 10 years 1 staff Total 5 engineers
What is the main job responsibility for R&D engineers?	Research and design new products and technology support.
Is there any relevant design input, output, review, verification and validation documentation available for auditor to review?	According to the relevant documents provided, found the company has design input, output, review, verification and validation.
Is there any special software or instrument used by the R&D staffs during the design process of new products? If yes, please list the main software or instrument.	Instruments: hardness tester, plating thickness gauge, etc. Software: CAXA, SOLIDWORKS
Does the company have an effective design change control procedure in place?	According to the relevant documents provide by the company, the company has effective design change control.
Please list the patent certificates and qualification license.	The company has 6 utility model patent certificates: ZL201320118804.2, ZL201420765955.1, ZL201320207589.3, ZL201320288398.4, ZL201420077765.0, ZL201320742614.8;



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## Part D: Management System and Product Certification

### 1.1 Management System and Product Certification

Management system certification

- ☒ ISO9001:2008 certificate  
NO.: U0013Q0486R0S (UKAS), A0013Q0069R0S (ANAB), 0070313Q12433R0S (CNAS)  
Issued by: Guangdong Zhongjian Certification Co., Ltd.  
Issued Date: 03 Sep., 2013  
Valid Until: 02 Sep., 2016  
Scope: Sale of mining equipment, explosion-proof electrical and steel; Shandong China Coal Industrial & Mining Supplies Group Co., Ltd. Mechanical electrical appliance manufacturing branch: design, manufacture and sale of mine car, air-tight door, explosion proof door, track switch, stopper, scraper loader and u beam arch steel; Shandong China Transport Logistics Equipments Co., Ltd.: general cargo transportation; design, development and network technology service of computer software
- ☐ ISO14001:2004 certificate
- ☐ OHSAS18001:2007 certificate
- ☐ TS16949
- ☐ SA8000
- ☐ Others
- ☐ NIL


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<p>Product certification</p>	<p><input checked="" type="checkbox"/> CE (total 6pcs, we took 2pcs for example as below)          NO.: EC.1282.0B131023.SCC2796          Product Name: Mine Car          Model: MGC1.1-6, MLC2-6, MGC1.7-9D, MGC3.3-9, MLC3-6, MLC5-9, MLC3-9A, AFU0.75-6, KFU1.0-6, KC1.2-6, KC1.6-6A, KC2-6A, KC2.5-6A, KC3-6, MPC2-6, MPC3-6, MPC5-6, MPC5-9          Standard: EN ISO 12100:2010, EN 349:1993+A1:2008, EN 953:1997+A1:2009, EN ISO 13857:2008          Issued by: Ente Certificazione Macchine Srl</p> <p>NO.: EC.1282.0B131113.SCC3057          Product Name: Winch          Model: JD-4.0, JD-1.0, JD-1.6, JD-2.5, JH-5, JH-8, JH-14, JH-20, 2JP-7.5, 2JP-15, 2JP-30, 2JP-55, JZ5/400, JZ10/80, JZ16/800, JZ16/1000, 2JTP-1.6x1.2, 2JTP-1.6x0.9, JTP-1.2x1, 2JK-2x1          Standard: EN ISO 12100:2010, EN 6020401:2006/AC:2010, EN 349:1993+A1:2008, EN 953:1997+A1:2009, EN ISO 13857:2008, EN 14492-1:2006+A1:2009          Issued by: Ente Certificazione Macchine Srl</p> <p><input type="checkbox"/> UL</p> <p><input type="checkbox"/> CCC</p> <p><input type="checkbox"/> RoHS</p> <p><input type="checkbox"/> FCC</p> <p><input type="checkbox"/> Others</p> <p><input type="checkbox"/> NIL</p>
<p>Test reports for raw materials</p>	<p><input type="checkbox"/> RoHS</p> <p><input type="checkbox"/> Reach</p> <p><input type="checkbox"/> Others</p> <p><input checked="" type="checkbox"/> NIL</p>



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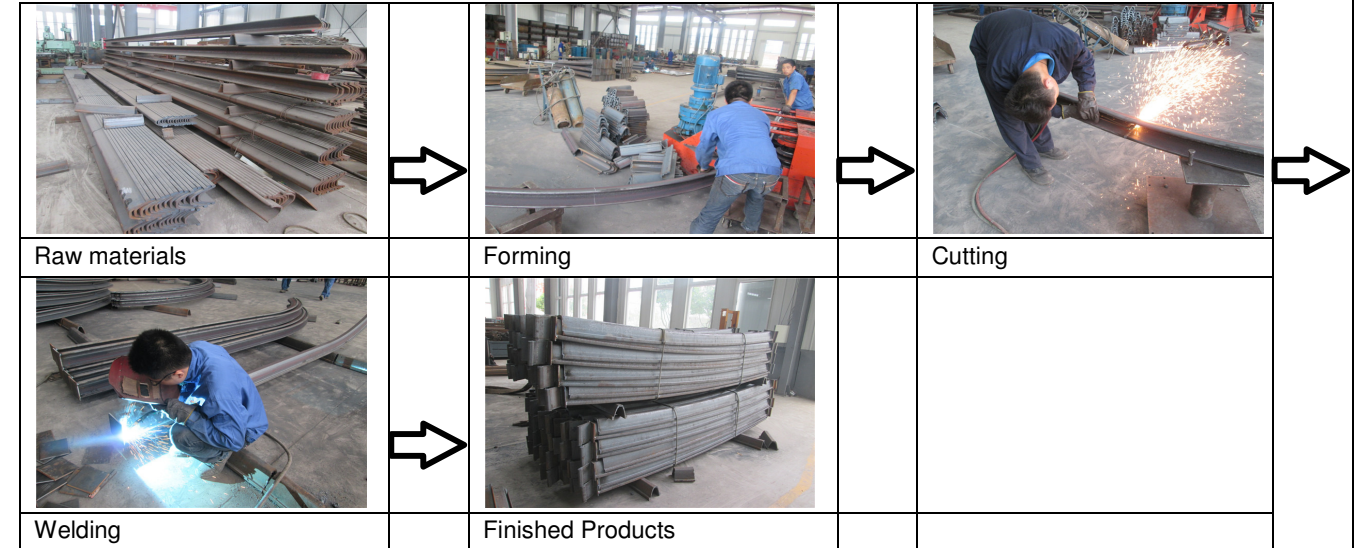
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## Part E: Production Capacity & Quality Control

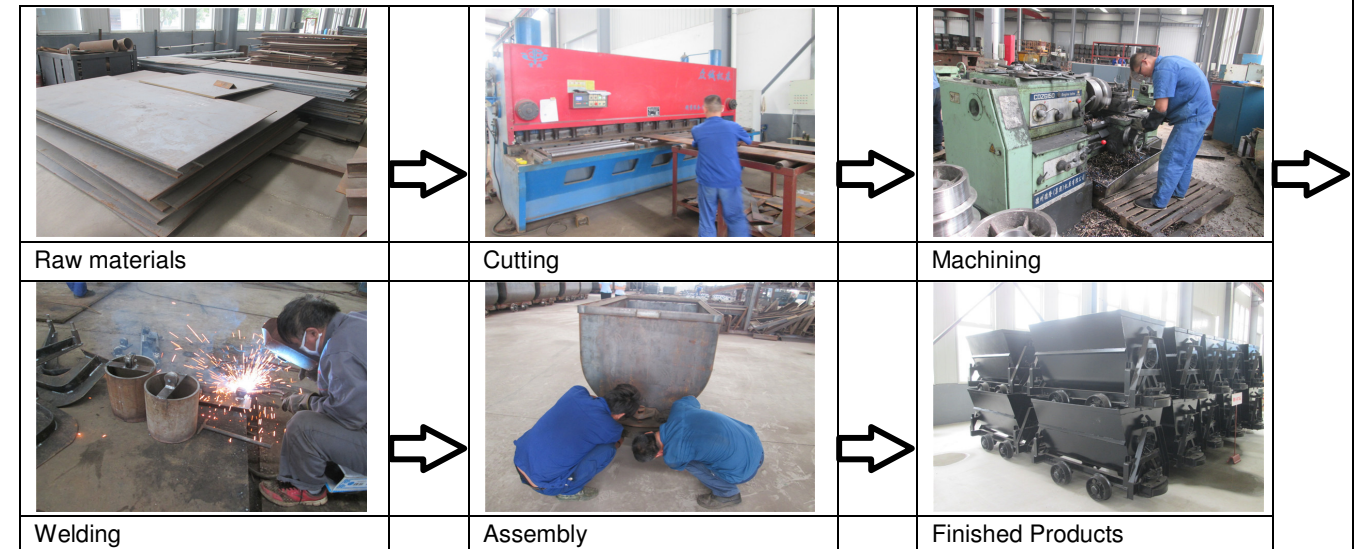
### Section 1: Production Capacity

#### 1.1 Production Workflow Chart


Production Workflow Chart Of U Steel Arch



Production Workflow Chart Of Mine Car



#### 1.2 Products Situation (Top three Product Categories)



Product	Price range	Min. order quantity	Top monthly output	Average monthly output	Total in 2014
 Hoisting Equipment	Negotiable	1 set	/	/	/

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	Negotiable	1 set	/	/	/
Shotcrete Machine					
	Negotiable	1 set	173 sets	132 sets	1,592 sets
Mine Car					

### 1.3 Main Facilities

Picture	Facility name	Brand or Country/Region of origin	Target Value/machine*day	Quantity
	Gantry driller	China	N/A	5 sets
	Forming machine	China	N/A	1 set
	CNC cutting machine	China	N/A	1 set
	Plate shearing machine	China	N/A	1 set
	Hydraulic presser	China	N/A	1 set
	Vertical lathe	China	N/A	1 set



	Planing machine	China	N/A	4 sets
	Horizontal milling & boring machine	China	N/A	1 set
	Lathe	China	N/A	6 sets
	Milling machine	China	N/A	2 sets
	Belt cutting saw	China	N/A	1 set

## Section 2: Production Process Control

2.1 Production Process Control		
Item	Content	Observations /Comments
1	Product R&D capacity	<input checked="" type="checkbox"/> Own brand <input checked="" type="checkbox"/> ODM <input checked="" type="checkbox"/> OEM
2	Are the environmental conditions, such as tidiness and cleanliness being controlled and suitable for the operation performed?	<input type="checkbox"/> Very tidy <input checked="" type="checkbox"/> Normal <input type="checkbox"/> Need to improve <input type="checkbox"/> Very poor
3	Are the necessary items /documents provided at appropriate location and under control?	<input checked="" type="checkbox"/> Work Instructions /procedures <input type="checkbox"/> Workmanship standard /acceptance <input type="checkbox"/> Golden sample /Approval sample <input type="checkbox"/> Product picture <input type="checkbox"/> Verbal by workshop director
4	Are written instructions available for incoming material inspections /testing? Is the relevant record maintained?	<input checked="" type="checkbox"/> Has instructions and uniformly followed <input type="checkbox"/> Has instruction but no written records <input type="checkbox"/> Materials checked by storage staff
5	Are written inspections /testing instructions available for finished products? Is the relevant record maintained?	<input checked="" type="checkbox"/> Have instructions and uniformly followed <input type="checkbox"/> Have instruction but no written records <input type="checkbox"/> Finished product checked by packing staff
6	What type of inspection is used for finished products?	<input type="checkbox"/> Random inspection <input type="checkbox"/> Visual inspection <input type="checkbox"/> Function inspection <input checked="" type="checkbox"/> 100% inspection <input checked="" type="checkbox"/> Visual inspection <input checked="" type="checkbox"/> Function inspection
7	Are non-conforming units clearly marked/ segregated to prevent accidental dispatch?	<input checked="" type="checkbox"/> Marked and segregated <input type="checkbox"/> Segregated but not marked clearly <input type="checkbox"/> Not found on site
8	How are the non-conforming units handled?	<input type="checkbox"/> Repaired and re-inspection <input checked="" type="checkbox"/> Picked out <input type="checkbox"/> Used under control <input type="checkbox"/> Others

## Part G: Working Environment

### Section 1: Working Environment

1.1 Welfare Benefits		
Item	Content	Observations /Comments
1	Does the company have effective procedures to verify the age of staff at the time of recruitment?	<input checked="" type="checkbox"/> Has written procedure and keeps adequate age documents of workers <input type="checkbox"/> Has written procedure but doesn't follow records <input type="checkbox"/> Hasn't written procedure or follows records
2	Do all workers sign employment contracts with the factory?	<input checked="" type="checkbox"/> All workers sign employment contracts <input type="checkbox"/> Some workers sign employment contracts <input type="checkbox"/> Only management staff sign employment contracts <input type="checkbox"/> No staff sign employment contracts
3	Is statutory contribution required for all employees' social insurance (e.g. health insurance, unemployment insurance, accident insurance etc.) paid for by the enterprise?	<input checked="" type="checkbox"/> All workers have social insurance. <input type="checkbox"/> Some workers have social insurance <input type="checkbox"/> Only management staffs have social insurance <input type="checkbox"/> No staff have social insurance
4	Does the company have a clear and effective policy on working hours, rest and vacations? If does, please list it.	<input type="checkbox"/> All staffs work kept to the policy <input checked="" type="checkbox"/> Most of the time it keeps to the policy except midseason. <input type="checkbox"/> Usually needs overtime. <input type="checkbox"/> No relevant records for working hours Describe the working hours: 8 hours per day, 6 days per week
5	Does the company pay extra remunerations for all overtime work?	<input checked="" type="checkbox"/> For all overtime work. <input type="checkbox"/> For official holidays <input type="checkbox"/> For official holidays except weekend. <input type="checkbox"/> No extra remunerations for overtime.
6	Does the company have dormitories for staff? If yes, please describe the condition.	<input checked="" type="checkbox"/> Provide dormitories for all staff <input type="checkbox"/> Provide dormitories for workers <input type="checkbox"/> Provide dormitories for management staff <input type="checkbox"/> No dormitories were provided. Describe the condition: 4 staffs per room
1.2 Labor Protection		
Item	Content	Observations /Comments
1	Are there uniforms for all staff in company?	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No <input type="checkbox"/> Other
2	Is the emergency medical supplies enough and easily used in workshop?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No <input type="checkbox"/> Other
3	Does the company arrange health and safety training for new workers?	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No <input type="checkbox"/> Other
4	Do the workers have the appropriate protective equipment during operation in workshop? Such as gloves, masks.	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No <input type="checkbox"/> Other
5	Is there training needed and carried out for fire protection?	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No <input type="checkbox"/> Other



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SGS-CTP Standards Technical Services Co., Ltd.  
Consumer Testing Service

5/F, B-4 Building, Zijin (Baixia) Technology Incubation Special Park, No.1 Guanghua Road, Nanjing, China 210014 t (86-25) 87128385 f (86-25) 83407773 www.sgsgroup.com.cn  
中国·南京·秦淮区光华路1号紫金白下创业特别社区4号楼B幢5楼 邮编: 210014 t (86-25) 87128385 f (86-25) 83407773 e as.cn@sgs.com



## Part J: Photos

## Section 1: Photos of Documents

## 1.1 Photos of Documents

## Description: Business License



## Description: Export License



## Description: Land Certificate



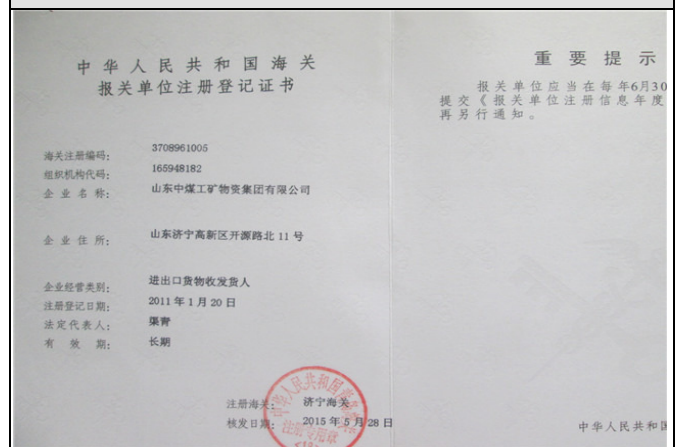
## Description: Tax Registration Certificate



## Description: Organization Code Certificate



## Description: Customs Registration Certificate



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## Description: ISO9001 Certificate



## Description: ISO9001 Certificate



## Description: ISO9001 Certificate



## Description: CE Certificates



## Description: Utility Model Patent Certificates



## Description: Trade Mark Certificates



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## Description: Trade Mark Certificates



## Description: Business License (Subsidiary)



## Section 2: Photos of Company

### 2.1 Photos of Company and Product Sample

#### Description: Company Gate



#### Description: Office Building



#### Description: Office Building



#### Description: Office





Description: Office



Description: Meeting Room



Description: Workshop Building



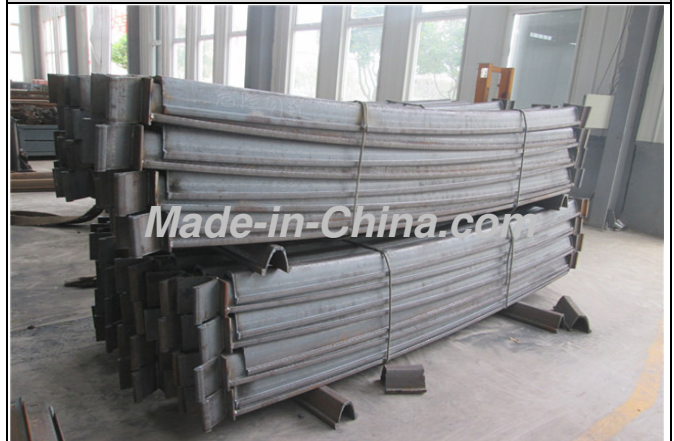
Description: Workshop Inside



Description: Workshop Inside



Description: Product Samples





Description: Product Samples



Description: Product Samples



Description: Product Samples



Description: Product Samples



Description: Product Sample



Description: Product Sample



-- End of the Report --